



DT08 Rec'd CT/PTO .14 MAR 2005

Attorney Docket No.: 11138-016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application of:  
Gerd Berghaus

Serial No.: 10/511,195

Filed: 10/12/04

For: Device for the Plastic Deformation of  
Workpieces

Examiner: Unknown

Group Art Unit: Unknown

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED

MS Missing Parts  
Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date  
Granted mailed March 2, 2005, a copy of which is attached, enclosed herewith for  
filing is a fully-executed, combined Declaration for Patent Application and Power of  
Attorney (three sheets) and an English translation for the above-referenced patent  
application.

Applicant has calculated the fees associated with the filing of this Response as  
follows:

- ☐ A basic filing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.16(a).
- ☐ An additional filing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.16(b),  
(c) and/or (d).
- X An English translation of the specification in the amount of \$130.00 under  
37 C.F.R. § 1.17(i).
- ☒ A surcharge in an amount of \$130.00 under 37 C.F.R. § 1.16(e).
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_-month extension of  
time under 37 C.F.R. § 1.136(a).

03/18/2005 SHAJARRO 00000114 10511195  
81 FC:1617  
82 FC:1618

130.00 OP  
130.00 OP

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient  
postage, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the below date:

Date: March 10, 2004

Name: M. Collins

Signature: *M. Collins*

BRINKS  
HOFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

The Commissioner is hereby further authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

March 10, 2004

Date

  
Steven L. Oberholtzer (Reg. No. 30,670)

X Attorney/Agent Of Record

☐ 37 C.F.R. 1.34(a)

SLO/mc

Attachments: Copy of Notice of Missing Parts  
Declaration/Power of Attorney  
English Translation  
Check in the Amount of \$260.00  
Return Receipt Postcard



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/511,195	BRINKS HOEFER GILSON & LIONE Conrad Berghaus	11138.016
Steven L Oberholtzer Brinks Hofer Gilson & Lione P O Box 10395 Chicago, IL 60610		INTERNATIONAL APPLICATION NO. PCT/EP03/02731
RECEIVED MAR 17 2005 U.S. DOCKET		I.A. FILING DATE 03/17/2003
		PRIORITY DATE 04/12/2002

CONFIRMATION NO. 8673

## 371 FORMALITIES LETTER



\*OC000000015314325\*

Date Mailed: 03/02/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/12/2004
- Copy of the International Search Report filed on 10/12/2004
- Copy of IPE Report filed on 10/12/2004
- Preliminary Amendments filed on 10/12/2004
- Information Disclosure Statements filed on 10/12/2004
- Oath or Declaration filed on 10/12/2004
- U.S. Basic National Fees filed on 10/12/2004
- Priority Documents filed on 10/12/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

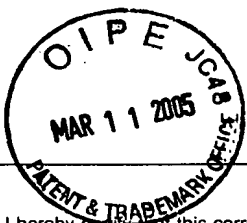
*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,195	PCT/EP03/02731	11138.016



DT08 Rec'd PCT/PTO 11 MAR 2005

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: March 9, 2005 Name: M. Collins

Signature: *M. Collins*BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Gerd Berghaus

Appln. No.: 10/511,195 ✓

Filed: 10/12/04

For: Device for the Plastic Deformation of Work Pieces

Attorney Docket No: 11138-016

Examiner: Unknown

Art Unit: Unknown

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Status Inquiry  
☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

A check in the amount of \$\_\_\_\_\_ is enclosed.

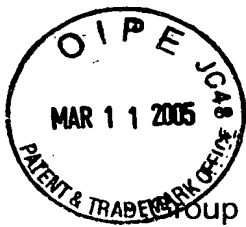
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

*Steven L. Oberholtzer*  
Steven L. Oberholtzer (Reg. No. 30,670)

March 9, 2005

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unknown )  
Examiner: Unknown )  
Inventor: Gerd Berghaus )  
Serial No.: 10/511,195 )  
Filed: 10/12/04 )  
For: Device for the Plastic )  
Deformation of Work Pieces )

**STATUS INQUIRY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Mailing**

This correspondence is being deposited with the United States Postal Service as first class mail addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on March 9, 2005.

By: *M. Collins*

Sir:

Please advise as to when we might receive a Notice to File Missing Parts  
of Application in the above-referenced application.

Respectfully submitted,

By: *Steven L. Oberholtzer*  
Steven L. Oberholtzer  
Reg. No.: 30,670

SLO:mc

Brinks Hofer Gilson & Lione  
P.O. Box 10395  
Chicago, IL 60610  
(734) 302-6000

Docket No.: 11138-016  
Dated: March 9, 2005